ISSN2394-3777 (Print)
ISSN2394-3785 (Online)
Available online atwww.ijartet.com
International Journal of Advanced Research Trends in Engineering and Technology
(IJARTET) Vol. 5, Special Issue 14, April 2018

SELF HEALING CONCRETE – BACTERIA BASED

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Crack formation is a commonly observed phenomenon in concrete structuresA typical durability-related phenomenon in many concrete constructions is crack formation. While larger cracks hamper structural integrity, also smaller sub-millimeter sized cracks may result in durability problems as particularly connected cracks increase matrix permeability. Ingress water and chemicals can cause premature matrix degradation and corrosion of embedded steel reinforcement. As regular manual maintenance and repair of concrete constructions is costly and in some cases not at all possible, inclusion of an autonomous selfhealing repair mechanism would be highly beneficial as it could both reduce maintenance and increase material durability. Therefore, the functionality of various self healing additives is investigated in order to develop a new generation of self-healing concretes. In the present study the crack healing capacity of a specific bio-chemical additive, consisting of a mixture of viable but dormant bacteria and organic compounds packed in porous expanded clay particles, was investigated. Microscopic techniques in combination with permeability tests revealed that complete healing of cracks occurred in bacterial concrete and only partly in control concrete. The mechanism of crack healing in bacterial concrete presumably occurs through metabolic conversion of calcium lactate to calcium carbonate what results in crack-sealing. This biochemically mediated process resulted in efficient sealing of sub-millimeter sized (0.18 mm width) cracks. It is expected that further development of this new type of self-healing concrete will result in a more durable and moreover sustainable concrete which will be particularly suited for applications in wet environments where reinforcement corrosion tends to impede durability of traditional concrete constructions.
Note: Concrete crack-healing, permeability, bacteria, calcium carbonate formation.

INTRODUCTION

Crack formation in concrete is a phenomenon that can hardly be complete avoided due to for example shrinkage reactions of setting concrete and tensile stresses occurring in structures. While larger cracks can potentially hamper a structures' integrity and therefore require repair actions, smaller cracks typically with a crack width smaller than 0.2 mm are generally considered unproblematic. Although such micro cracks do not affect strength properties of structures they do on the other hand contribute to material porosity and permeability. Ingress of aggressive chemicals such as chlorides, sulfates and acids may result on the longer term in concrete matrix degradation and premature corrosion of the embedded steel reinforcement and thus hamper the structures' durability on the long term. In several studies indications have been found that concrete structures have a certain capacity for autonomous healing of such micro cracks. The actual capacity of micro crack healing appears primarily related to the composition of the concrete mixtures. Particularly mixtures based on a high binder content show remarkable crack-healing properties, what is due to delayed (secondary) hydration of matrix embedded nonhydrated cement and binder particles upon reaction with crack ingress water. Autogenous self-healing of cracks in traditional but also high-binder content mixtures appear limited to cracks with a width smaller than 0.2 mm. This somewhat limited effectiveness appears largely due to the restricted expansive potential of the small non-hydrated cement particles lying exposed at the crack surface. Another limitation to application of highbinder content mixtures solely for the purpose of increasing self-healing capacities are current policies which advocate sparse use of cement in concrete for sustainability reasons as current cement production contributes about 7% to global anthropogenic CO2 emissions. For latter reasons, alternative and more sustainable self-healing mechanisms are therefore wanted. One possible mechanism is currently being investigated and developed in several laboratories, i.e. a technique based on the application of mineral-producing bacteria. E.g. efficient sealing of surface cracks by mineral precipitation was observed when bacteria-based mixtures were sprayed or applied onto damaged surfaces or manually inserted into cracks. As in those studies bacteria were manually and externally applied to existing structures, this mode of repair can not be categorized as truly self healing. In several follow up studies therefore, the possibility to use viable bacteria as a sustainable and concrete-embedded self healing agent was explored. In one study spores of specific alkali-resistant bacteria related to the genus Bacillus were added to the concrete mixture as self-healing agent. These

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spores germinated after activation by crack ingress water and produced copious amounts of crack-filling calcium carbonatebased minerals through conversion of precursor organic compounds which were also purposely added to the concrete mixture. However, in that study it was found that the bacteria-based self-healing potential was limited to relatively young (7-days cured) concrete only, as viability and related activity of bacterial spores directly (unprotected) 3 embedded in the concrete matrix was restricted to about two months. The present study builds further on results reported in latter research paper Here, bacterial spores and organic mineral precursor compounds are packed in porous expanded clay particles prior to addition to the concrete mixture. It is hypothesized that protection of bacterial spores within porous light weight aggregates extends there viability period and thus concrete selfhealing functionality when embedded in the material matrix.

VIABLE BACTERIA AS SELF HEALING AGENT

The bacteria to be used as self healing agent in concrete should be fit for the job, i.e. they should be able to perform long-term effective crack sealing, preferably during the total constructions life time. The principle mechanism of bacterial crack healing is that the bacteria themselves act largely as a catalyst, and transform a precursor compound to a suitable filler material. The newly produced compounds such as calcium carbonate-based mineral precipitates should than act as a type of bio-cement what effectively seals newly formed cracks. Thus for effective self healing, both bacteria and a bio-cement precursor compound should be integrated in the material matrix. However, the presence of the matrix-embedded bacteria and precursor compounds should not negatively affect other wanted concrete characteristics. Bacteria that can resist concrete matrix incorporation exist in nature, and these appear related to a specialized group of alkali-resistant spore-forming bacteria. Interesting feature of these bacteria is that they are able to form spores, which are specialized spherical thick-walled cells somewhat homologous to plant seeds. These spores are viable but dormant cells and can withstand mechanical and chemical stresses and remain in dry state viable for periods over 50 years (Fig. 1). However, when bacterial spores were directly added to the concrete mixture, their lifetime appeared to be limited to one-two months. The decrease in life-time of the bacterial spores from several decades when in dry state to only a few months when embedded in the concrete matrix may be due to continuing cement hydration resulting in matrix pore-diameter widths typically much smaller than the 1-µm sized bacterial spores. Another concern is whether direct addition of organic bio-mineral precursor compounds to the concrete mixture will not result in unwanted loss of other concrete properties. In the preceding study it was indeed found that various organic bio-cement precursor compounds such as yeast extract, peptone and calcium acetate resulted in a dramatic decrease of compressive strength. The only exception appeared to be calcium 4 lactate what actually resulted in a 10% increase in compressive strength compared to control specimens . In order to substantially increase the lifetime and associated functionality of concrete incorporated bacteria, the effect of bacterial spore and simultaneously needed organic biomineral precursor compound (calcium lactate) immobilization in porous expanded clay particles was tested in this study. It was found that protection of the bacterial spores by immobilization inside porous expanded clay particles before addition to the concrete mixture (Fig. 2) indeed substantially prolonged their life-time. Currently running viability experiments show that still after 6 months concrete incorporation no loss of viability is observed, suggesting that their long term viability as observed in dried state when not embedded in concrete is maintained. In subsequent experiments the expanded clay particles loaded with the two-component bio-chemical healing agent were applied as additive to the concrete mixture to test selfhealing potential of bacterial concrete.

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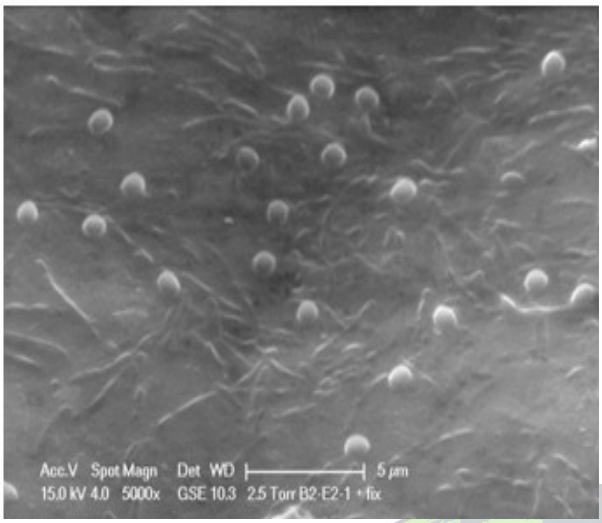

Figure 1. ESEM photomicrograph (5000x magnification) of alkali-resistant spore forming bacterium (Bacillus strain B2-E2-1). Visible are active vegetative bacteria (rods) and spores (spheres), showing that spore diameter sizes are in the order of one micrometer. 5

AUTONOMOUS CRACK REPAIR OF BACTERIAL SELF HEALING CONCRETE

Concrete test specimens were prepared in which part of the aggregate material, i.e. the 2-4 mm size class, was replaced by similarly sized expanded clay particles loaded with the biochemical self-healing agent (bacterial spores 1.7x105 g-1 expanded clay particles, corresponding to 5x107 spores dm-3 concrete, plus 5% w/w fraction calcium lactate, corresponding to 15g dm-3 concrete). Before application, loaded expanded clay particles were oven-dried until no further weight loss due to water evaporation was observed (one week at 40ºC). Control specimens had a similar aggregate composition but these expanded clay particles were not loaded with the bio-chemical agent. Both types of expanded clay particles (empty for control specimens and loaded for bacterial specimens) were Composition of concrete specimens is shown in Table 1.
The amount of light weight aggregate applied in this case represents 50% of the total aggregate volume. Replacement of such a high fraction of sand and gravel for expanded clay has consequences for strength characteristics of the derived concrete. In this specific case a 50% decrease in compressive strength was observed after 28 days curing when compared to specimens of similar aggregate composition without replacement of sand and gravel fractions for expanded clay particles. Although the expanded clay-based specimens featured a substantial decrease in strength, crack-healing capacity of specimens in which expanded clay particles were loaded with bacteria and organic mineral precursor

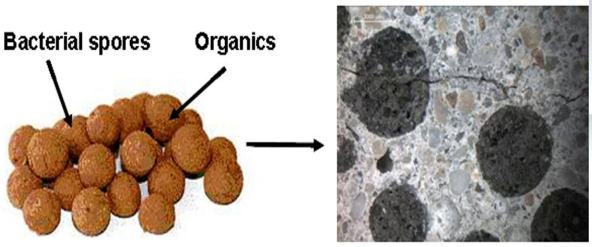

figure 2. Self healing admixture composed of expanded clay particles (left) loaded with bacterial spores and organic bio-mineral precursor compound (calcium lactate). When embedded in the concrete matrix (right) the loaded expanded clay particles represent internal reservoirs containing the two-component healing agent consisting of bacterial spores and a suitable bio-mineral precursor compound.

Table 1. Composition of concrete specimens. LWA refers to Liapor Sand R 1/4 expanded clay particles

compounds	Volumes(cu.cm)	Weight(g)
2 - 4 mm LWA	196	167
1 - 2 mm LWA	147	125
0.5 - 1 mm Sand	147	397
0.25 - 0.5 mm Sand	128	346

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0.125 - 0.25 mm Sand	69	186
Cement CEMI 42.5N	122	384
Water	192	192
Total	1001	1796

compound (calcium lactate) appeared substantially improved. The self-healing capacity of pre-cracked concrete slabs sawed from 56 days (2 months) water cured concrete cylinders was determined by taking light microscopic images before and after permeability quantification. For the latter, pre-cracked concrete slabs were glued in an aluminum ring and mounted in a custom made permeability setup. Crack formation in concrete specimen slabs (10 cm diameter, 1.5 cm thickness) was achieved by controlled application of compressive-tensile stress of concrete at the 2 months cured specimens. Induced cracks featured crack length of 8 cm running from top to bottom of specimen and a crack width of 0.15 mm running completely through the 1.5 cm thick specimen. After crack induction, both sets (6 of each) of control (added expanded clay particles neither loaded with bacterial spores nor with organic compounds) and bacterial concrete specimens (added expanded clay particles loaded with both bacterial spores and organic compounds) were submerged for two weeks in tap water at room temperature. Subsequently, permeability of all cracked specimens was quantified by automated recording of tap water percolation in time during a 24 hours period (Fig. 3).

Comparison between bacterial and control specimens revealed a significant difference in permeability and thus in self-healing capacity. While cracks of all six bacterial specimens were completely sealed resulting in no measurable permeability (percolation of 0 ml water / h), only 2 out of six control specimens appeared perfectly healed. The four other control specimens featured permeability (water percolation) values between 0 and 2 ml/h. Microscopic examination of cracks (at the side of the slab being exposed to the water 7 column) revealed that in both control and bacterial specimens precipitation of calcium carbonate-based mineral precipitates occurred. However, while in control specimens precipitation largely occurred near the crack rim leaving major parts of the crack unhealed, efficient and complete healing of cracks occurred in bacterial specimen as here mineral precipitation occurred predominantly within the crack itself (Fig. 4).

Discussion and conclusion The outcome of this study shows that crack healing of bacterial concrete based on expanded porous clay particles loaded with bacteria and calcium lactate, i.e. an organic bio-mineral precursor compound, is much more efficient than of concrete of the same composition however with empty expanded clay particles. The reason for this can be explained by the strictly chemical processes in the control and additional biological processes in the bacterial concrete. Non-hydrated cement particles exposed at the crack surface of concrete will undergo secondary hydration and in addition in control specimens carbon dioxide present in the bulk water will react with present portlandite (calcium hydroxide) particles to produce calcium carbonate-based mineral precipitates. Latter mineral precipitates will particularly form near the crack rim due to the relatively high solubility of calcium hydroxide. Here it is hypothesized that calcium hydroxide particles present at the surface of the crack interior will first scavenge all available carbon dioxide from crack ingress water, where after remaining calcium hydroxide will dissolve and diffuse out of the crack into the bulk water. Once in the bulk water it will react with carbon dioxide present in close approximation to the crack rim resulting in the chemical production and precipitation of larger quantities of much lower soluble calcium carbonate.

Figure 3. Pre-cracking of concrete slab and subsequent permeability testing

Probable reason for the massive precipitation of calcium carbonate near the crack rim (Fig. 4A) is that concentration of both reactants calcium hydroxide and carbon dioxide are relatively high here due to the opposing diffusion gradients of the respective reactants. Calcium hydroxide diffuses away from the crack interior towards the overlying bulk water while carbon dioxide diffuses from the bulk water towards the crack interior where it is scavenged by high concentrations of calcium hydroxide. The process of chemical calcium carbonate reaction from dissolved calcium hydroxide occurs according to the following reaction:

$CO2 + Ca(OH)\ 2 \rightarrow CaCO3 + H2O(1)$ ⟶

The amount of calcium carbonate production inside the crack in control concrete specimens is likely only minor due to the low amount of CO2 present in the limited

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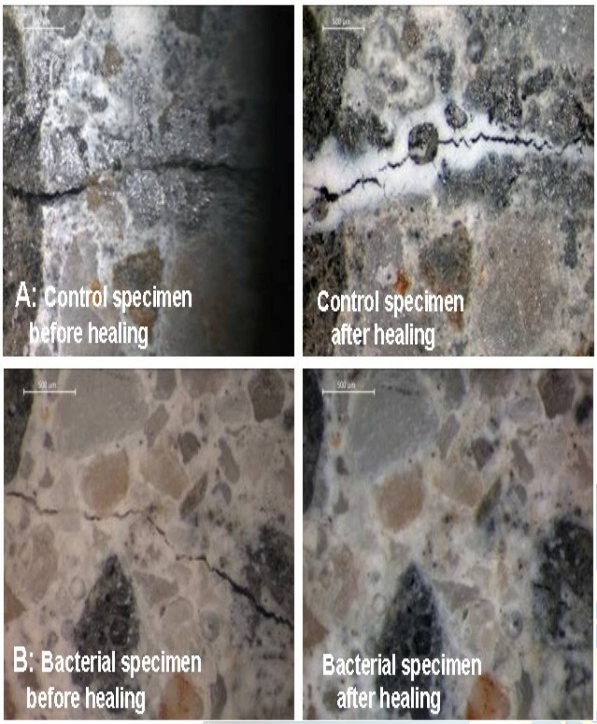

Figure 4. Light microscopic images (40 times magnification) of pre-cracked control (A) and bacterial (B) concrete specimen before (left) and after (right) healing (2 weeks submersion in water). Mineral precipitation occurred predominantly near the crack rim in control but inside the crack in bacterial specimens. Efficient crack healing occurred in all six bacterial and two out of six control specimens.

amount of water present in the crack interior. The self healing process in bacterial concrete is much more efficient due to the active metabolic conversion of calcium lactate by the present bacteria:

$$Ca(C_3H_5O_2)\ 2 + 7O_2 \rightarrow CaCO_3 + 5CO_2 + 5H_2O \quad (2)$$

This process results in the precipitation of substantially higher amounts of calcium carbonate inside the crack as calcium carbonate is in this case not only directly produced from the conversion of calcium lactate in equimolar amounts of calcium carbonate, but also indirectly via the chemical reaction of metabolically produced CO_2. As latter carbon dioxide is produced at the surface of the crack interior it will directly react with portlandite particles still present in the crack interior. In the latter case, portlandite does not dissolve and diffuse away from the crack surface, but instead reacts directly on the spot with local bacterially produced CO_2 to additional calcium carbonate. The process of bacterial calcium lactate conversion thus results in the production of in total six calcium carbonate equivalents, resulting in efficient crack sealing as can be seen in Figure 4B. In this study the potential effect of only calcium lactate addition (without addition of bacterial spores) on crack healing was not considered. In order to establish the purely chemical effect of calcium lactate additions on crack-healing potential, experiments under completely sterile conditions have to be performed. This, however, is technically difficult considering the introduced effects of needed heat sterilization (120ºC for 20 minutes) or chemicals on specimen characteristics. As self-healing tests in this study were performed under nonsterile conditions (realistic conditions) it can not be excluded that bacteria present in tap water used for curing, self-healing and permeability experiments contain bacteria that may have (in addition to purposely added bacterial spores) contributed to metabolic conversion of calcium lactate to calcium carbonate-based minerals. One clear indication for metabolic (bacterial) conversion of calcium lactate, however, has been recently obtained in our laboratory by oxygen consumption measurements of concrete specimens. While bacterial spores and calcium lactate-containing specimens consumed substantial amounts of oxygen rapidly after submersion in water, strongly delayed oxygen consumption was observed in only calcium lactate-containing specimens, and no oxygen consumption occurred in only bacterial spores-containing specimens. As latter experimental data still need further quantification, it does suggest that addition of bacterial spores as part of the twocomponent biochemical healing agent may in fact not be necessary in cases where crack ingress water already contains bacteria able to metabolically convert calcium lactate.

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However, in order to assure rapid onset of crack-healing action and particularly to ensure their presence, co-application of calcium lactate and bacteria able to metabolically convert this compound appears the most favourable option.

Main objective of this study was to establish whether bacteria immobilized in porous expanded clay particles prior to concrete mix addition can substantially increase bacterially-mediated self-healing in comparison to direct unprotected addition of bacteria to the concrete mixture as was done in a previous study [16]. The results of this study appear promising as 100% healing (6 out of 6 tested specimens) of cracks induced in 2 months cured bacterial specimens occurred in contrast to 33% healing (2 out of 6 tested specimens) of control specimens. Tests showed furthermore that bacterial spore viability increased from 2 to more than 6 months when added immobilized (protected) inside porous expanded clay particles compared to direct (unprotected) addition to the concrete mixture. Ongoing experiments concern further quantification crack-healing, i.e. establishing the relationship between amount of healing agent added and effective healing of crack depth and width. From this study it can be concluded that active bacteriallymediated mineral precipitation can thus result in efficient crack-plugging and concomitant decrease in material permeability.

The overall conclusion of this work is that the proposed two component bio-chemical healing agent, composed of bacterial spores and a suitable organic bio-cement precursor compound, using porous expanded clay particles as a reservoir is a promising bio-based and thus sustainable alternative to strictly chemical or cement-based healing agents, particularly in situations where concrete parts of a construction are not accessible for manual inspection or repair. However, before practical application becomes feasible, further optimization of the proposed system is needed. E.g., the amount of healing agent needed should be minimized in order to become economically competitive with currently existing repair techniques and furthermore to reduce consequences such as loss in compressive strength.

ACKNOWLEDGEMENTS

Financial support from the Delft Centre for Materials (DCMat: www.dcmat.tudelft.nl) for this work is gratefully acknowledged.

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